

REMARKS

With respect to the Drawings:

The following amended sheets of Drawings are attached, both in a marked-up copy and in a formal copy containing the amendments: Sheets 1, 2, 3, 5, 11, 13, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 38, 41, 42, 43, 45.

The following amended pages of the Description are enclosed, both in a marked-up copy and in a formal copy containing the amendments: Pages 13 - 19, 21 - 59.

Both the Drawings and the Description have been corrected to ensure that each reference numeral is located both in the Drawings and in the Description.

Where it is evident from the Description which part of the Drawings is referred to, the corresponding reference numeral/s shown in the Drawings has/have been inserted into the Description. Reference numerals appearing in parts of the Drawings which are not explicitly referred to in the Description have been deleted, as have reference numerals appearing in the Description but not in the Drawings. Where it is evident that a reference numeral or Figure number has been used in error in the Description, the mistake has

been corrected.

Attention is drawn in particular to the following points:

1) At p.16 lines 13 and 15 the numbers "443" and "80" are intended to represent by way of illustration the numbers of ports of the Central Servers, and therefore are not found as reference numerals in the drawings. These port numbers have been enclosed in inverted commas in the attached amended sheets for clarity.

2) It is noted that since the Figures respectively refer to the various parts of an interconnected system, reference numerals referred to in any given passage of the Description may necessarily refer to more than one of the Figures. Where the location of any reference numeral may not be immediately apparent to the reader, references to the Figure in which that numeral appears have accordingly been added.

3) Where alternative reference numerals have been used for the same element (e.g. Customers 400, 1200, 600) the specification and/or the drawings have been amended for the sake of clarity to use the same reference numeral throughout (e.g. Customers 1200).

4) It is noted that the designation "L100" is used repeatedly throughout the Figures but is not referenced in the Description as filed. It is clear from its juxtaposition with

reference numerals and text in the Figures that "L100" is in fact a shorthand designation for the CENTRAL CONSOLE 901.

Thus for instance in Fig. 10, box 315, "Delivery man presents the delivery bar-code on the parcel to the L100 bar-code reader". The relevant passage of the Description is at p38 lines 17-19: "...the deliverer...will then present the package to the bar-code reader of the CENTRAL CONSOLE in step 315." Similarly in Fig. 11, box 402: "L100 prompts customer for collection code." The relevant passage is at p.41 lines 1-2: "...the CENTRAL CONSOLE prompts him for his Collection Code in step 402." There are similar instances in Figs.10, 10a, 10b, 11, 11a, 12, 12a, 15, and elsewhere, and in each case it is clear that the central console is the element referred to.

In Fig. 5, the reference "L100" is used in two places in conjunction with the reference numeral 901, which in the Description indicates the Central Console.

The only place in which applicant is aware of any alternative use of the designation "L100" is in Fig. 7, where L100 is used in conjunction with the reference numeral 900. This reference numeral is referred to in the Description as indicating the ACP Site which (as shown in Fig. 5) in turn contains the

Central Console 901.

Accordingly the words "or L100" have been added to the Description at p.2'7, line 7, under heading "D. Description of the ACP Site 900 figure 5". The phrase thus reads "... the central console or "L100" 901 has a user interface...". This it is hoped will assist the reader in understanding the designation "L100" where it occurs throughout the Figures.

With respect to the Claim Rejections: Pending claims 1 - 33 are hereby deleted. New Claim 34 is added.

New Claim 34 defines a delivery method for a computer network architecture including a Locker Management System controlling a plurality of automated lockers, and at least one Delivery Company distinct from the Locker Management System for performing the physical delivery of the goods, as is taught by the generality of the specification.

In order to deliver goods to an automated locker, a code allowing the delivery personnel access to deposit the goods in the locker is first generated independently by delivery company software and then communicated to the central Locker Management System. The Locker Management System then sends a corresponding instruction to the selected locker so that it opens when the

delivery personnel inputs the code into the respective automated collection point.

Explicit support for this formulation is found in particular at the following points of the specification:

P.36 lines 1 - 4: a tracking number is generated by delivery company software at the etailer site and converted into a barcode and added to the package label.

P.36 line 20 - P.37 1.4: the barcode details (embodying the tracking number) are communicated to the application server of the Locker Management System via the etailer's server. The application server then sends the barcode together with the parcel ID via the ACP server (another of the Central Servers of the Locker Management System) to the Automated Collection Point to prime it to accept the delivery.

P.38, line 17 et seq: the delivery person presents the barcode to the Central Console of the selected Automated Collection Point, which responds by opening the selected locker to receive the parcel.

In summary, the code is generated by delivery company software, communicated to the application server of the Locker Management System, and then passed from the Locker Management

System to the Automated Collection Point as an instruction to open when the code is input by the delivery personnel.

More broadly, support is found for example at P.49, lines 5-6: a tracking number is generated according to rules specific to the courier.

It will be appreciated that by arranging for the access code for the delivery to be generated by the delivery company rather than by the Locker Management System, the invention thus defined greatly assists the necessary flow of information between the separate elements of an integrated system, i.e. between the Locker Management System, the delivery company and the etailer.

Comparing the present method with the cited art, Tsukuda for example discloses the use of a "contract code" which identifies an individual order of goods. However, the contract code is stated to be information which is produced when the contract is made, and is hence treated as if it already existed - i.e. the provenance of the contract code is outside the scope of the disclosure. It may include the name of the seller. (Col. 4, lines 14 - 29.) It is also stated that the contract code may be communicated from the seller. (Col. 4, lines 62 - 67).

Once the contract code and other delivery details are present, an "agent" (or delivery personnel) is identified and the delivery details including the contract code are passed to the agent (Col. 6, line 58 et seq).

Where the "agent" is an automated collection point (Col.9 line 33 et seq) a similar procedure is followed. From col.10, line 22 et seq, access is obtained to the locker by the delivery personnel by entering an identifier relating to the distributor (Fig. 16, ref. 1226 in Fig.12), as is well known in the art.

Thus the access code for the delivery is passed to the delivery organization, not provided by it.

Ogilvie discloses a method and apparatus for managing an automated collection point, wherein a central operations controller provides an authorization code to a shipper, which the shipper then inputs into the facility to gain access (Col. 3, lines 45 - 65). The shipper then enters a transaction code, again assigned to it by the central operations center, in order to lock the goods in the facility (Col. 3 line 66 - Col. 4 line 12). Thus the code is always generated by the central operations controller, and communicated to the delivery organization.

In both Tsukuda and Ogilvie the access code for the delivery is thus communicated from the locker management organization to the delivery organization. The delivery organization must then necessarily record the code and communicate it to the delivery personnel who will carry out the physical delivery.

Kaarsoo allows access to each of a plurality of mailboxes by means of a card reader; the user merely presents his identity card to the reader (Col. 2, lines 14 - 17) and inputs his PIN (Col.5, line 51 et seq) in order to open the mailbox.

The prior art in general thus teaches away from the present invention, since (where the collection code is dealt with at all) it is always provided centrally and communicated to the delivery company. For any delivery there are thus two transactions: a notification from the delivery company to the central locker management organization, and a response from the locker management organization to the delivery company containing the code which the delivery company must then provide to its personnel in order to enable the delivery to be made.

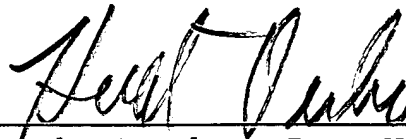


In contrast, the present invention as defined in new Claim 34 enables the delivery to be scheduled and executed by the delivery organization, using its own internal protocols and passing its own access code directly to its own personnel, with the central Locker Management System acting in a responsive rather than an initiating role. The customer's collection code can then be generated by the Locker Management System and communicated directly to the customer. The flow of information is thus simplified by reducing the number of steps required for each transaction, and the different organizations (delivery company, Locker Management System) are thus more closely integrated into a more efficient system.

A petition for an automatic three month extension of the term is enclosed together with a form PTO-2038 charging the amount to a credit card of the undersigned. In addition, there is enclosed a request for a change in the correspondence address. A

Power of Attorney has been requested from the Applicant and will be filed as soon as it comes to hand. In the meantime, note the new address given below.

Respectfully submitted,  
The Firm of Karl F. Ross P.C.



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Encls: Petition for Ext.  
PTO-2038  
Subst. Specification  
Marked up Copy  
Drawing Corrections  
Marked up Copy

Marked up copy to  
show changes made.

STITUTE SPECIFICATION

UNITED STATES PATENT APPLICATION

OF

STEPHEN HUXTER

FOR

COURIER INDEPENDENT SYSTEM AND METHOD FOR THE DELIVERY OF  
GOODS ORDERED BY THE INTERNET

## **BACKGROUND OF THE INVENTION**

### **Cross Reference to Related Applications**

This application is a continuation-in-part of U.S. Patent Application entitled "System and Method for Facilitating Receipt and Collection of Goods Ordered from Online Retailers", filed December 1, 2000 (WSGR Ref. No. 25350-703), and relates to U.S. Patent Application entitled "Single Courier Model for the Delivery of Goods Ordered by the Internet", filed January 10, 2001 (WSGR Ref. No. 25350-704), all of which are hereby incorporated by reference.

### **Field of the Invention**

10 This invention relates to the field of e-commerce. In particular, the invention relates to network systems for facilitating the delivery of goods ordered from online retailers.

### **Description of the Related Art**

Prior to the advent of web-based retailing, customers have typically purchased goods through store-based retailing or by contacting a vendor via telephone or mail. They may go to a retail store and conduct the purchase through a sales assistant store-based retailing, or conduct the purchase over the telephone by calling the vendor directly – this is usually in response to seeing the product in a vendor's catalog or seeing an advertisement for the product on the television, radio or other medium this is called catalog-based retailing.

20 Recently, a third approach to retailing has evolved: buying goods over the internet. Customers select their goods from the choice available on a vendor's web page. Payment is conducted typically with a credit card, which is authorized at the point of purchase. This

retail approach is referred to as "etailing"; vendors using the etailing model are referred to as "etailers".

5       Etailing has a number of advantages over both store-based retailing and catalog-based retailing. However, a key challenge for etailers is getting the goods to the customer in a way that is cheap and convenient. Catalog-based retailers have always faced a similar challenge, which is one of the main reasons why the growth of catalog-based retailing has been curtailed. So if etailers are to fulfill their potential, they need to address the problems with the current delivery infrastructure.

10       There are two problem areas with the current delivery infrastructure: cost and convenience. Each of these problems will now be discussed.

      Delivering individual packages to individual customer's homes is an inefficient process compared to delivering whole batches of products to retail stores. This inefficiency is compounded further by the fact that customers are often not in to receive the delivery. Understandably, it is difficult for delivery companies to give a specific time  
15       when a customer's package will be delivered in fact, they *could* give specific times but this would make the system even more inefficient and therefore increase the cost even further. But it is equally understandable that customers are unwilling to wait in for a vaguely-specified time period often spanning several days to take receipt of their package.

20       The result is that the delivery company either leaves the parcel outside the customer's home which is clearly a security risk as it invites theft, or leaves a note explaining that they tried to deliver the package but the customer was not in; the delivery company will either try to deliver the package again or will ask the customer to collect it from the delivery company's depot between certain times which is inconvenient for the customer and inefficient for the delivery company.

In summary, the current process is inconvenient and inefficient. It is inconvenient for customers because they either have to try to wait in for the delivery which could mean waiting in for several days or go to the delivery depot at a certain time. It is inefficient for delivery companies because delivering single packages to individual customers' homes is more expensive than delivering multiple packages to retail stores, and because customers are often not in to receive their deliveries. The delivery companies' inefficiencies result in increased costs, which result in increased delivery fees. This limits further the appeal to customers of buying goods from an etailer.

Some customers have responded to this situation by arranging for goods purchased from etailers to be delivered to their employer's office. This approach has a number of problems, probably the most pertinent of which is that employers' office systems are not equipped to cope with handling their employees' shopping. Consequently, employers are becoming increasingly reluctant to allow their employees to deliver personal shopping to their place of work.

## SUMMARY OF THE INVENTION

The invention provides a method and apparatus to implement and operate a network of automated collection points, or ACPs. The automated collection points facilitate the delivery of goods to a customer. In particular, the invention allows customers, delivery agents, or retailers to arrange for the delivery of goods ordered from a retailer to an automated collection point which can be accessed by a customer.

An automated collection point comprises a bank of electronically-operated lockers. The lockers may vary in size, and may be positioned indoors or outdoors. The ACP may include different type of interfaces, such as barcode readers, smart card readers, biometric scanners, or keypads.

The automated collection point is connected via a network medium to a collection of one or more servers referred to as a Locker Management System. A Locker Management System (LMS) may control two or more automated collection points. These automated collection points may be located at separate geographical locations.

In embodiments of the invention, rather than specifying home or work as the ship-to address, customers or retailers may arrange for goods to be shipped to a local ACP. In some embodiments, each package is assigned a unique numeric ID. When a package is delivered to an ACP site, it is identified to an interface on the ACP by its package ID. In some embodiments, the package ID may be embedded in the ACP site address on the ship-to label on the package. In some embodiments, the package ID may be encoded as a bar code on a label on the package—this bar code may be scanned on a bar code scanning interface coupled to the automated collection point. In other embodiments, the package ID may be transmitted wirelessly to a detector coupled to the automated collection point. In

yet another embodiment, the package ID may be typed into a keyboard in communication with the automated collection point. Upon validating the package ID, the automated collection point will open to permit access, so that the package may be placed into an appropriately-sized secure locker.

5           In embodiments of the invention, upon receipt of the package by the automated collection point, the customer will automatically be sent a message containing notification of the delivery. The message may include a numeric code for opening the locker. In embodiments of the invention, this code will only work when used in conjunction with a pre-determined customer PIN.

10           In embodiments of the invention, the customer will come to the ACP site to collect their goods. In alternative embodiments, the ACP may be affixed to the customer's residence. In embodiments of the invention, the ACP interface may prompt the customer to enter their collection code followed by their PIN. The collection code and PIN will be validated by the ACP site and the server computers used to manage the network of ACPs.

15           If the validation is successful, the locker containing the customer's goods will open.

          Embodiments of the invention include a method of arranging delivery of an item ordered from the Internet to a automated collection point by selecting one of a number of couriers. Such embodiments involve selecting a courier amongst a plurality of couriers. The plurality of couriers may be stored in a database accessible by the e-tailer, either  
20           locally or remotely. The courier may be selected on the basis of price. In embodiments, the user is sent a plurality of prices for delivering the item to the collection point by the plurality of couriers. In some such embodiments, the system then selects the courier with the lowest price. The system may also determine whether or not couriers listed in the database deliver to the requested automated collection point.



In some embodiments, a string identifier may be sent from the e-tailer to the customer via the web client. The string identifier may indicate an identifier for the courier; in other embodiments, it may indicate a password for entry to the locker in the automated collection point. In some embodiments, the string identifier may be dynamically generated.

## BRIEF DESCRIPTION OF THE FIGURES

Fig. 1 is an entity-relationship diagram illustrating process employed for arranging the delivery of goods purchased from an e-tailer according to embodiments of the invention.

Fig. 2 illustrates a network architecture for a central server used to arrange the delivery of commercial goods purchased from e-tailers in embodiments of the invention.

Fig. 3 illustrates an client-side architecture used by e-tailers to interface with the central server according to embodiments of the invention.

Fig. 4 schematically illustrates client interfaces used by customers to access the central server according to embodiments of the invention.

Fig 5 illustrates a user interface for an automated collection point used in an embodiment of the invention.

Fig. 6 illustrates a client interface used by delivery companies to access the central server in embodiments of the invention.

Fig. 7 illustrates a client interface used by hosts of the automated collection points to access the central server according to embodiments of the invention.

Fig. 8 is a flowchart for a customer registration process used in embodiments of the invention.

Fig. 9, 9a, includes flowchart for a process for scheduling the delivery of goods according to embodiments of the invention.

Fig. 10, 10a, 10b includes flowcharts illustrating processes for delivering goods to an automated collection point according to embodiments of the invention.

Fig. 11, 11a illustrates flowcharts for processes involved in collecting goods.

Fig. 12, 12a includes a flowchart for a collection-expiry process used in embodiments of the invention.

Fig. 13, 14 illustrates a partner settlement procedure according to embodiments of the invention.

5        Fig. 15 illustrates a process for automated closing of the door of an automated collection point according to embodiments of the invention.

Fig. 16 illustrates a process for delivery company registration with the central server according to embodiments of the invention.

10       Fig. 17 illustrates a process for registering a host for an automated collection point according to embodiments of the invention.

Fig. 18 illustrates a process for registering e-tailers with the central server according to embodiments of the invention.

Fig. 19 illustrates a system architecture with multiple courier servers.

15       Fig. 20 illustrates an address determination procedure for multiple courier embodiments.

Fig. 21 illustrates a delivery fee calculation procedure for multiple courier embodiments.

Fig. 22 illustrates a procedure for formatting shipping pages in multiple courier embodiments.

20       Fig. 23 illustrates a customer registration procedure used in multiple courier embodiments.

Fig. 24 illustrates a dynamic fee calculation procedure used in multiple courier embodiments.

Fig. 25 illustrates a ship order procedure used in multiple courier embodiments.

25       Fig. 26 illustrates a settlement procedure used in multiple courier embodiments.

Fig. 27 illustrates a system parameters procedure used in multiple courier embodiments.

Fig. 28 illustrates a schedule delivery procedure used in multiple courier embodiments.

5 Fig. 29 illustrates a redirect: home to CP procedure used in multiple courier embodiments.

Fig. 30 illustrates a system architecture in single courier embodiments.

Fig. 31 illustrates an address determination procedure used in single courier embodiments.

10 Fig. 32 illustrates a delivery fee calculation procedure used in single courier embodiments.

Fig. 33 illustrates a format shipping page procedure used in single courier embodiments.

15 Fig. 34 illustrates a customer registration procedure used in single courier embodiments.

Fig. 35 illustrates a dynamic fee calculation procedure used in single courier embodiments.

Fig. 36 illustrates a ship order procedure used in single courier embodiments.

Fig. 37 illustrates a settlement procedure used in single courier embodiments.

20 Fig. 38 illustrates a system parameters procedure used in single courier embodiments.

Fig. 39 illustrates a schedule delivery procedure used in single courier embodiments.

25 Fig. 40 illustrates a redirect: home to CP procedure used in single courier embodiments.

## DETAILED DESCRIPTION

### A. System Architecture

Embodiments of the invention include a computer network architecture for a locker management system used to operate one or more automated collection points. Each of the automated collection points comprises one or more lockers located at a site. The automated collection points provide an interface for validating the delivery of goods to the site. The processes employed in delivering goods to customers are schematically illustrated in Fig. 1. Each of the automated collection points is also coupled to the locker management system, which enables validation of packages arriving at the automated collection points.

A network architecture for the locker management system is illustrated in Figure 2-4, 6. The architecture includes one or more of the following components:

1. Internet Service Provider ISP 1004.
2. Leased Line 1001.
3. Web Server 1010
4. Application Server 1040
5. ACP Server 1070
6. Firewalls 1002 and 1030
7. Router 1003 and Ethernet network cabling
8. Hub 1099
9. Communication connection between the ACP Sites and the ACP Server. In an embodiment of the invention, the communication connection may be a VSAT Connection 1098

10. ACP Site 900

11. Host Interface Device 1110

12. Host Communication Device 1103.

13. Etailer Interface Device 301 Fig 3

5 14. Etailer Integration Device 310 Fig 3

15. Customer Interface Device 1201 Fig 4

16. Customer Message Device 1205 Fig 4

17. Delivery Company Interface Device 801 Fig 6

10 The ISP 1004 provides connectivity between the ACP system and the Internet.

This connectivity is established by connecting the Web Server 1010 to the ISP 1004 through the Leased Line 1001 connected to a Router 1003. The Router 1003 is connected to the Hub 1099 via a Firewall 1002. The Web Server 1010 may be connected to the other servers in the ACP system using a CAT5 coaxial cable connection to the Ethernet Hub 1099. The Web Server 1010 hosts the web sites and performs the web processing required in the ACP system. The Web Server 1010 only communicates with the Application Server 1040 and other servers on the Internet via the ISP 1004; it does not communicate directly with the ACP Server 1070 – this is conducted through the Application Server 1040.

20 The Application Server 1040 also connects to the other servers through a CAT5 coaxial cable connection to the Ethernet Hub 1099, via a Firewall 1030. The Application Server 1040 performs most of the business logic in the ACP system. It works with the Web Server 1010 and ACP Server 1070 to send and receive information to users of the ACP System. The users are customers, etailers, delivery companies and ACP site hosts.

25 The ACP Server 1070 is the gateway through to the ACP sites. It is connected to the other servers through a CAT5 coaxial cable connection to the Hub 1099, and to the

ACP Sites via a connection medium that supports Internet Protocol IP communication. In an embodiment of the invention, this connectivity may be through a VSAT Connection 1098. The ACP Server communicates only with the Application Server 1040 and the ACP Sites 900; it does not communicate directly with the Web Server 1010 – this is conducted through the Application Server 1070 via the Hub 1099.

The ACP Site 900 is the actual bank of lockers to which customers' packages are delivered. The ACP Sites 900 communicate only with the ACP Server 1070. This communication can be conducted using any communication medium that supports IP. In the first implementation of this invention, this connectivity will be through a Communications Socket 1104 which will be a PES connector which will enable connectivity to the VSAT Connection 1098 at the ACP Server 1070.

Delivery companies relate to the system in two ways. First, their delivery personnel actually perform the delivery of the packages to the ACP Sites 900. This activity is conducted through the ACP Site 900 interface, which may comprise one or more of an LCD Screen 951, a Keypad 952, a series of Buttons 953 and a Barcode Reader 954, (FIG. 5). Secondly, the delivery company can view the information on deliveries made by their delivery personnel using the Delivery Company Interface 801. This is typically a web browser running on a PC owned by the delivery company. The delivery company will have access, through its web browser, to a dedicated part of the ACP system's Web Server 1010.

Hosts have a communications capability into which the ACP Sites are connected. As explained above, this communications capability can be anything that supports IP which, in the first implementation of this invention, will be a VSAT network. The host must therefore install a PES socket at the host site. The ACP Site i.e. the bank of lockers will connect to this PES socket.

Hosts are also able to connect to the ACP system to view information about deliveries made to the ACP Site 900 that they are hosting. This connection is done through a Host Interface Device 1110<sup>(Fig-7)</sup> which connects to the ACP system through the Web Server 1010. This Host Interface Device 1110 will typically be a web browser running on a standard PC owned by the host. The host will have access, through its web browser, to a dedicated part of the ACP system's Web Server 1010.

Etailers that are integrated into the ACP system do so through a connection to the ACP Server 1040. This is established through an Integration Device 310 residing on the etailer's system. This Integration Device 310 will typically be a computer program supplied as part of this invention, which runs on an etailer's server computer provided by the etailer. Etailers are also able to interface with the ACP system to view information about their relationship with the ACP system and past orders. This interface is conducted through the Interface Device 301, which will typically be a web browser running on a PC owned by the etailer. The etailer will have access, through its web browser, to a dedicated part of the ACP system's Web Server 1010.

Customers interface with the ACP system using an Interface Device 1201 owned by the customer. This will typically be a web browser running on a PC. The ACP system sends messages to customers using a Message Device owned by the customer. This will typically be an email account, cellular phone or pager.

#### Detail of each part of the system architecture

The system architecture for a first embodiment of the apparatus and method of the present invention is illustrated in figures 2 through 7. In a preferred embodiment, the apparatus of the present invention comprises Central Servers 1000 which connects to ACP



Site 900, Host 1100, Customer <sup>1200</sup>~~600~~, Delivery Company 800 and Etailer 700. The system architecture for each of these components will now be discussed.

### Central Servers 1000

Figure 2 illustrates the Central Servers 1000, which comprise a Web Server 1010, Application Server <sup>1040</sup>~~1030~~ and ACP Server <sup>1070</sup>~~1090~~. In a preferred embodiment of this invention, each server will physically reside on its own dedicated machine. A conventional server with sufficient storage, memory and processing capability will be sufficient for each of these machines.

Central servers connect to each other over an internal 10/100 Ethernet network. Each server has a conventional Ethernet Network Interface Card NIC, which is connected to an Ethernet Hub 1099 using standard Category 5 CAT5 cable.

At a high-level, the Web Server 1010 controls the interface between the World Wide Web and the Application Server 1040, the Application Server 1040 performs the business logic, and the ACP Server 1070 controls the interaction with the ACP sites 900. The detailed role of each server will now be discussed.

### Web Server 1010

The purpose of Web Server 1010 is to host the web sites that are used to manage the flow of information between other Central Servers 1000 and external devices used by other participants in the process. These participants are Customers <sup>1200</sup>~~600~~, Hosts 1100, Delivery Companies 800, and Etailers 700. The Web Server 1010 has only a minimal amount of business logic – its main role is to provide an interface to the data and business logic of the Application Server 1040.

Web Server 1010 is connected to the Internet. This connection can be arranged with any standard Internet Service Provider ISP 1004 and will include a Leased Line 1001.

The Leased Line 1001 is a physical connection between the Web Server 1010 and the ISP's 1004 server. The Leased Line 1001 terminates at the server room of the Central Servers 1000 through a communications socket which feeds into a Router 1003. The Router 1003 can be any commercial router compatible with the configuration of the Leased Line 1001. In the first implementation of this invention, a Cisco 804 router will be used for this purpose. Other equivalents will be apparent to those skilled in the art.

The speed requirements for the Leased Line 1001 will depend on the volume of traffic going between Customers' <sup>1200</sup>~~600~~ Interface Devices 1201 and Web Server 1010. In a first installation of this invention, a 256kpbs leased line will be sufficient.

A Firewall 1002 should be installed between the Web Server 1010 and the Leased Line 1001. The purpose of the Firewall 1002 is to protect the Web Server 1010 and therefore all Central Servers from unwanted intrusions via the Internet. As a minimum, the firewall it configured to allow traffic through on ports "443" Secure System Layer and "80" web traffic. Other ports maybe allowed if remote monitoring by system support personnel is required. Similarly, the Web Server 1010 it configured to listen on ports "443" and "80," along with any other ports required for remote support.

A second Firewall 1030 should be installed between the Web Server 1010 and the Ethernet Hub 1099. This is to provide further protection to the Application Server 1040 and the ACP Server 1070 should an intruder manage to break through the first Firewall 1002. Firewall 1030 is also used by Application Server 1040 when making external connections not via the Web Server 1010.

The Web Server's 1010 Application Software 1011 is a set of computer programs. The scope of these computer programs is restricted to displaying information on web pages, receiving and validating responses from users customers, delivery companies, administrators, etailers and hosts and passing these responses down to the Application

Server 1040 via the Firewall 1030. The Application Server's 1040 responses will be fed back up to the Web Server 1010 via the Firewall 1030. The appropriate Application Software 1011 will manage the displaying of the response on the web site.

5 The Application Software 1011 computer programs can be coded in any language or combination of languages that is capable of displaying web pages on the World Wide Web and that can communicate, through Firewall 1030, with the Application Server 1040.

In an implementation of this invention, the computer programs may be coded in HTML with a combination of static graphics, such as JPEG files, as well as animated graphics, such as animated GIFs. These computer programs are organised into five sets of  
10 modules: Customer Interface 1012, Etailer Interface 1013, Delivery Company Interface 1014, Site Host Interface 1015 and Administration Interface 1016.

Customer Interface 1012 manages the web-based interaction between customers who are arranging for goods to be delivered to an ACP site. The functionality of the Customer Interface 1012 will be to display the information described in REGISTER 100 (FIG. 8)  
15 and SCHEDULE DELIVERY ~~300~~<sup>200 (FIG. 9, 9A)</sup>. Customer Interface 1012 will also provide the interface through which customers can change their details specified in REGISTER 100, as well as displaying information about previous deliveries, such as date of delivery and collection code.

Etailer Interface 1013 enables registered etailers to access and analyse information  
20 about goods that were delivered from them to an ACP site resulting from a customer purchase. This information will be browse only. Delivery Company Interface 1014 enables registered delivery companies to access and analyse information about goods that were delivered by them to an ACP site. This information will be browse only. Site Host Interface 1015 enables ACP site hosts to access and analyse information about goods that  
25 were delivered to their ACP sites. This information will be browse only.

Administrator Interface 1016 will be used by ACP support personnel to administrator the ACP infrastructure. Tasks include adding and deleting site hosts and accessing customer details. The Administrator Interface 1016 will allow information to be accessed in browse, add, change and delete modes, depending on the security profile of the user.

In order to interface with the Web Server's 1010 hardware, the Application Software 1011 requires some System Software 1017. Specifically, an Operating System 1018 is installed on the Web Server 1010. This can be a conventional server operating system. The main stipulation is that a compiler for this operating system must exist for the language in which the Web Server's 1010 Application Software 1011 is coded. In the first implementation of this invention, Microsoft's Windows NT operating system will be used.

Web Server 1010 will have a conventional hard-disk<sup>(1023)</sup> magnetic or optical Storage Device 1022 arranged in a Random Array of Inexpensive Disks RAID configuration. No business data will be stored on this unit – all business data will be stored on the storage unit of the Application Server 1040. The only data that will be stored on the storage device of the Web Server 1010 will be the Operating System 1018 and the Application Software 1011.

#### Description of the Application Server 1040

The role of the Application Server is to process the information received from the Web Server 1010 and from the ACP Server 1070. Users cannot interface directly with the Application Server 1040; rather, users access the application server 1040 via the Web Server 1010. Like the Web Server 1010, the Application Server 1040 has an Application Software ~~1041~~ layer. This Application Software ~~1041~~ is a set of computer programs. These programs can be coded in any language or combination of languages that supports

interfacing with the Web Server 1010 via the Firewall 1030. The programming language also support interfacing with a Relational Database Management System RDBMS, 1050. In the first implementation of this invention, the Application Software 1041 programming language will be Microsoft's Visual Basic with some C++ extensions.

5

The Application Software ~~1041~~ computer programs are organised into five sets of modules: Customer Processing 1042, Etailer Processing 1043, Delivery Company Processing 1044, Host Processing 1045 and Administration Processing 1046.

Customer Processing 1042 provides the customer-related business logic to support  
10 the REGISTER 100, SCHEDULE DELIVERY 200 and COLLECTION 400 processes.  
The Customer Process 1042 also contains the logic to maintain customer's details through the Customer Interface 1012.

Etailer Processing 1043 provides the etailer-related business logic to support the  
SCHEDULE DELIVERY 200 and PARTNER SETTLEMENT 600<sup>(FIG. 13)</sup> processes, as well as  
15 the database access and data manipulation for the Etailer Interface 1013.

Delivery Company Processing 1044 provides the delivery company-related  
business logic to support the DELIVER 300<sup>(FIG. 10)</sup> and PARTNER SETTLEMENT 600  
processes, as well as the database access and data manipulation for the Delivery Company  
Interface 1014.

20 Host Processing 1045 provides the host-related business logic to support the  
PARTNER SETTLEMENT 600 process, as well as the database access and data  
manipulation for the Site Host Interface 1014.

Unlike the Web Server 1010, the Application Server 1040 requires a Database  
1050 to store business data. Any commercial Relational Database Management System  
25 RDBMS 1051 should be sufficient for this purpose, although an ODBC compliant

database is preferred. The first implementation of this invention uses Microsoft's SQL Server RDBMS.

This business data stored on the RDBMS 1051 can be grouped into six databases: Customers 1052, Etailers 1053, Delivery Companies 1054, Hosts 1055 and Orders 1056.

5 Customers 1052 holds information about customers that was initially captured during the REGISTER 100 process. This information includes name, email address and contact telephone number, as well the customer's list of preferred ACP sites.

Etailers 1053 holds information about registered etailers. This includes the details of the etailer's financial arrangement within the ACP process.

10 Delivery Companies 1054 holds information about registered delivery companies. This includes the details of the delivery company's financial arrangement within the ACP process.

Hosts 1055 holds information about ACP site hosts. This includes the site's address as well as the details of the site host's financial arrangement within the ACP  
15 process.

Orders 1056 holds information on every order that is processed through an ACP site. Details include the date of delivery, the parcel ID, the collection code, the customer, the etailer if registered, the delivery company if registered and the site host.

The Application Server 1040 needs to communicate with other devices outside the  
20 Central Servers 1000 network, but not via the Web Server. These processes includes the Embedded Etailer Link 1048, the Customer Messaging 1049 and the Financial Settlement 1047. To protect the Central Servers 1000, this communication is conducted via a firewall. The Firewall 1030 can be used for this purpose, shared with the Web Server 1010.

The Embedded Etailer Link 1048 is a computer program provided by the ACP  
25 service to registered etailers. The Embedded Etailer Link 1048 is integrated into the

Etailer's web site and is invoked by the customer by pressing the button on the Etailer's site that is associated with the Embedded Etailer Link 1048. The Embedded Etailer Link 1048 controls the communication between the registered etailer's site and the Application Server 1040. This supports the SCHEDULE DELIVERY 200 process for registered  
5 etailers.

The Customer Messaging Link 1049 manages the communication between the Application Server 1040 and the customer. The Customer Messaging Link 1049 currently supports communicating via email, text-to-voice over standard telephone or cell phone, and text messaging using Short Message Service SMS on cell phones. Customers can  
10 choose over which medium they would like to be communicated during the DELIVER  
(FIG. 10)  
300 process sending customers their collection codes when their goods are delivered, and  
COLLECTION ORDER EXPIRY 500 (FIG. 12)  
600 process reminding customers to collection their goods and inform  
them when their order has expired.

As well as enabling access to information, the Etailer Processing 1043, Delivery  
15 Company Processing 1044 and Host Processing 1045 modules manage the financial  
relationships between all parties in the ACP network. Settling the financial positions  
requires access to third-party servers outside the Central Servers 1000 network. Also,  
(FIG. 11, 11A)  
taking payments from customers for late collection COLLECT 400 process requires access  
to third-party networks such as the VISA credit card clearing network. Access to all  
20 external financial networks is managed by the Financial Settlement Link 1047.

To run the Application Software ~~1041~~ on the Application Server 1040, some  
System Software 1060 it installed on the Application Server 1040. This System Software  
1060 includes an Operating System 1061, as well as any other system monitoring or  
performance tuning software required to maintain and support the Application Server  
1040  
25 ~~1041~~. The Operating System 1061 can be any commercial server operating system,

providing a compiler is available for the language in which the Application Software ~~1041~~ is written. In the first implementation of this invention, the Operating System 1081 to be installed on the ACP Server 1070 will be Microsoft's Windows NT.

5       The Processing Unit 1065 will contain conventional processing apparatus including a Central Processing Unit CPU 1066 and Memory 1067 – both Random Access Memory RAM and Read Only Memory ROM.

      The Storage Device 1068 will be conventional Hard Disk 1069 magnetic or optical storage units arranged in a Random Array of Inexpensive Disks RAID configuration, or other secondary storage systems apparent to those skilled in the art.

10       The Application Server could be split into three separate servers: an Application Server to run the Application Software ~~1041~~, a Database Server dedicated to running the RDBMS 1051, and a File Server dedicated to serving any files that may be required to administer the service for example, word processing documents or spreadsheets. The Application Server should have a fast processor and average amount of RAM. The  
15       Database Server should have a fast processor, a lot of RAM, and a RAID array of hard-disks with enough capacity to hold all the business information. The File Server should have an average processor with a lot of RAM. Subsequent implementations of this invention might take this approach, which should result in faster performance at high volumes.

20       The ACP Server 1070

      The ACP Server 1070 manages the communication between each ACP Site 900 and the Application Server ~~1070~~ <sup>1040</sup>. The ACP Server has an Application Software 1071 layer. The Application Software 1071 contains the Locker Management System 1072. The Locker Management System 1072 responds to messages from Application Server 1040



(FIG. 10, 10A, 10B)  
and the ACP Sites 900 to perform the functions specified in DELIVER 300, COLLECT  
(FIG. 11, 11A)  
400 and ~~ORDER EXPIRY 600~~ 500 (FIG. 12).  
~~COLLECTION~~

All communication is asynchronous between the ACP Server 1070 and the  
Application Server 1040, and the ACP Server and the ACP Sites 900. This is to ensure  
5 that the entire ACP system can continue to function for a reasonable period in the event of  
a communication breakdown. For example, if a communication failure occurred between  
the ACP Server 1070 and the ACP Sites 900, then the ACP Sites 900 would still be able to  
perform the DELIVER 300 and COLLECT 400 processes. The messages would simply be  
stored at the ACP Site 900 and would be re-sent automatically once the communication  
10 had been re-established. If the communication were synchronous which it isn't then the  
ACP Site 900 would be out of action until the communication problem had been fixed.

Unlike the Application Server 1040, the Locker Management System has a series  
of screens which are used to monitor and maintain the ACP Sites 900. As well as  
responding reactively to requests from Application Server 1040 and ACP Sites 900, the  
15 Locker Management System 1072 routinely conducts proactive checks on each ACP Site  
900. The status of each ACP Site 900 down to the level of individual Lockers 970 is  
(FIG. 5)  
established and a warning is displayed on the Locker Management System 1072 screen to  
alert support personnel of the problem.

The Locker Management System 1072 can be coded in any programming language  
20 that supports communication with the Application Server 1040, the ACP Sites 900 and the  
ACP Server's 1070 RDBMS 1076. In the first implementation of this invention, the  
Locker Management System will be programmed in Java.

The ACP Server 1070 requires a Database 1075. The Database 1075 will be an  
RDBMS 1076 and can be any commercial RDBMS that is able to communicate with the

Locker Management System 1072. In the first implementation of this invention, the Database 1075 will be built using Microsoft's SQL Server RDBMS product.

The data stored on the RDBMS 1076 can be grouped into Locker Details ~~1077~~ and Pending Messages ~~1078~~. Locker Details ~~1077~~ stores information on the configuration of all ACP Sites 900. Pending Messages ~~1078~~ contains information on deliveries or collections. These messages are from both the ACP Sites 900 and the Application Server 1040 that are destined for each other. The messages are only deleted from the Database 1075 once the ACP Server 1070 has confirmation of receipt from the target server.

To run the Application Software 1071 on the ACP Server 1070, some System Software 1080 is installed on the ACP Server 1070. This System Software 1080 includes an Operating System 1081, as well as any other system monitoring or performance tuning software required to maintain and support the ACP Server 1070. The Operating System 1081 can be any commercial server operating system, providing a compiler is available for the language in which the Locker Management System 1072 is written. In the first implementation of this invention, the Operating System 1081 to be installed on the ACP Server 1070 may be Microsoft's Windows NT™. Other suitable operating systems will be apparent to those skilled in the art.

The Processing Unit 1085 will contain conventional processing apparatus including a Central Processing Unit CPU 1086 and Memory – both Random Access Memory RAM and Read Only Memory ROM 1087.

The Storage Device 1090 will be conventional Hard Disk 1091 magnetic or optical storage units arranged in a Random Array of Inexpensive Disks RAID configuration.

The ACP Server 1070 connects directly to the Ethernet Hub 1099 using standard CAT5 cable. However, the ACP Server 1070 connects remotely to the ACP Sites 900, because the distance between the ACP Sites 900 and the ACP Server 1070 is too far to



Device 301 may be a Web Browser 302 running on a conventional PC or server. This Web Browser will be used to access the ACP web site hosted on Web Server 1010 to view the Etailer's 700 information. Other embodiments of this invention may support interfacing through other web-enabled devices such as cellular phones, personal organizers,

5 televisions and radios.

Registered Etailers 700 also employ an Integration Device 310. This Integration Device will host the Embedded Etailer link 1048<sup>(Fig. 2)</sup> that integrates the Etailer 700 with the Application Server 1040.

### C. Description of the Customer ~~400~~ <sup>1200</sup>

10 In the context of this invention, a Customer ~~400~~ <sup>1200</sup> is a person who buys goods from an etailer. However, embodiments of this invention also support use by customers who purchase goods from mail order companies.

To use the ACP network, a customer employs an Interface Device ~~401~~ <sup>1201</sup> and a Message Device ~~405~~ <sup>1205 (Fig. 4)</sup>. The Interface Device ~~401~~ <sup>1201</sup> is used by the Customer ~~401~~ <sup>1200</sup> to access the

15 Web Server 1010. This interaction is illustrated by the flowcharts for the REGISTER 100 process in figure 8 and SCHEDULE DELIVERY 200 process in figure 9. In an embodiment of this invention, the Interface Device ~~401~~ <sup>1201</sup> may be a Web Browser ~~402~~ <sup>1202</sup> running on a conventional personal computer PC. This Web Browser will be used to access the ACP web site hosted on Web Server 1010 to perform the REGISTER 100 and

20 SCHEDULE DELIVERY 200 functions. Other embodiments of this invention may support interfacing through other web-enabled devices such as cellular phones, personal organizers, televisions and radios.

The Message Device ~~405~~ <sup>1205</sup> is used by the Application Server 1040 to send messages to Customers ~~400~~ <sup>1200</sup>. This process is illustrated in the DELIVER 300 function in Figures 10

10a 10b and the COLLECTION EXPIRY 500 function in Figure 12. In an embodiment of the invention, this Message Device can be either a conventional Email <sup>1207</sup>~~406~~ account or a Cellular Phone <sup>1206</sup>~~407~~ that supports a Short Message Service SMS.

D. Description of the ACP Site 900 figure 5

5           The ACP Site 900 is a bank of Lockers 970, situated inside or outside a Host's 1100 building. The Lockers 970 are in a range of sizes. The Lockers 970 are managed by a central console 901. In embodiments of the invention, the central console <sup>OR "L100"</sup> 901 has a user interface which may include one or more of the following: an LCD screen 951, a series of metal Buttons 953 to the left and right of the screen, an electronic numeric Keypad 952  
10   and a Barcode Reader 954. The lockers are operated entirely electronically using the Buttons 953, the Keypad 952 and the Barcode Reader 954; no mechanical keys are involved. The central console may also have the ability to integrate with a credit card reader, cash receiver, or a printer. In some embodiments, the device does not include a keypad.

15           The Lockers 970 are locked and unlocked using electronic locking devices which respond to signals from the Processing Unit 918. The locking devices can be any door-locking devices capable of being controlled electronically by a CPU.

          The Processing Unit 918 contains a motherboard with a CPU 919 and memory. The CPU 919 can be any CPU capable of being programmed to operate the locking  
20   devices and communicate with the Locker Management System 1072. In an embodiment of this invention, the Motorola 6088 processor will be used. Other embodiments will be apparent to those skilled in the art.

          In embodiments of the invention, two types of memory are employed: Read Only Memory ROM 920 and Random Access Memory RAM 921. ROM 920 stores the System

Software 915 and Application Software 912, but cannot be modified by the System Software 915 or Application Software 912. So in addition to ROM 920, some RAM 921 is used by the System Software 915 and Application Software 912 to store details of locker status and store messages received from the Locker Management System 1072. Solid state  
5 memory i.e. RAM is preferred to a hard-disk or any other storage device containing moving parts. This is because the ACP Site will be in unattended as well as attended situations which may result in the ACP Site being jostled: moving-part storage could malfunction in this environment.

The data stored in RAM 921 can be grouped into three areas: Locker Status 933,  
10 Order Details 934 and Delivery Company Details 935. Locker Status 933 contains the current status of each Locker 970. Order Details 934 contains collection code and PIN information. Delivery Company Details contains details of delivery companies such as logon ID who are registered to use the ACP Site <sup>900</sup>~~1070~~.

The Locker Management System 1072 performs frequent checks on each central  
15 console 901 to determine the status of its Lockers 970. These checks are performed every few seconds. In the event of a central console 901 crash, when the central console 901 is re-booted it will re-establish its connection to the Locker Management System. However, re-booting the central console 901 will result in losing the contents of its RAM <sup>921</sup>~~920~~. The central console 901 will therefore ask the Locker Management System 1072 to re-populate  
20 its RAM <sup>921</sup>~~920~~ with the contents at the time of re-boot.

Application Software 912 is required to control the central console 901. The Application Software 912 is called central console Software 913. The CENTRAL CONSOLE Software 913 is a collection of computer programs. The computer programs can be written in any language or collection of languages capable of running on an  
25 Operating System 916 that contains an Internet Protocol IP stack. In the first

implementation of this invention, MicroWare's OS/9 operating system will be used. Other equivalents will be apparent to those skilled in the art. The functional requirements of the CENTRAL CONSOLE Software 913 are detailed in the DELIVER 300, COLLECT 400 and ~~ORDER EXPIRY~~ <sup>COLLECTION 500</sup> processes.

- 5           The CENTRAL CONSOLE 901 also contains a Network Interface Card NIC 921. This NIC 921 can be any 10/100 Ethernet NIC. The NIC is required to establish connectivity with the Locker Management System 1072. This connectivity can be conducted using any medium that supports the TCP/IP protocols. An implementation of this invention may be conducted using 2-way VSAT. The NIC 921 that comes out of the
- 10   CENTRAL CONSOLE 901 will be connected to a standard VSAT PES connector<sup>1104</sup> residing at the host ACP Site 900.

- The communication between the Locker Management System 1072 and the central console 901 could also be conducted over the Internet via a connection between the ACP Sites 900 and an Internet Service Provider ISP. The ACP Sites 900 could simply use a
- 15   modem and a Plain Old Telephone System POTS connection to dial-up the ISP.

#### E. Description of the Delivery Company 800

- In the context of this invention, a Delivery Company 800, as illustrated in Fig. 6, is a company that delivers parcels from Etailers 700 to Customers <sup>1200</sup>~~400~~. This invention
- 20   involves Delivery Companies 800 delivering to an ACP Site 900 rather than to a Customer <sup>1200</sup>~~400~~. No apparatus is required for the Delivery Company 800 to operate the ACP Site 900 (Fig. 10, 10A, 10B)
- refer to Deliver 300<sup>V</sup> for an explanation of this process.

          In embodiments of the invention, the Delivery Company 800 is able to view information about the deliveries they have performed refer to Delivery Company Interface

(Fig. 2)  
1014 in Central Servers 1000. To do this, the Delivery Company requires an Interface  
Device 801. In the first implementation of this invention, the Interface Device 801 is a  
Web Browser 802 running on a conventional PC. This Web Browser will be used to  
access the ACP web site hosted on Web Server 1010 to view the Delivery Company's 800  
5 information. Embodiments of this invention may support interfacing through other web-  
enabled devices such as cellular phones, personal organizers, televisions and radios.

#### F. Description of the Host 1100

In the context of this invention, a Host 1100, as illustrated in Figure 7, is an  
10 organization that places an ACP Site 900 either inside its building or outside. If the ACP  
Site 900 is outside, it will be surrounded with a protective shell.

The only apparatus required by the Host 1100 is a Communications Device <sup>1103</sup>~~1101~~ to  
enable the central console 901 to communicate with the Locker Management System  
(Fig. 2)  
1072. In an embodiment of this invention, this Communications Device <sup>1103</sup>~~1102~~ may be a  
15 VSAT PES. <sup>1104</sup>

The Host 1100 will also require an Interface Device 1110 if it would like to access  
the information about the deliveries made to its ACP Site 900--see Host Interface 1015 <sup>(Fig. 2)</sup> for  
details of this information. In an embodiment of this invention, the Interface Device 1110  
may be a Web Browser 1111 running on a conventional PC or server. This Web Browser  
20 1111 will be used to access the ACP web site hosted on Web Server 1010 to view the  
Host's 1100 information. Future embodiments of this invention will support interfacing  
through other web-enabled devices such as cellular phones, personal organizers,  
televisions and radios.



### G. Process Flow of the Invention

Figure 8 illustrates a flowchart for a process flow in the present invention, in which delivery of remotely purchased goods is scheduled and made to any one of a network of Automated Collection Points ACPs, with the Central Servers 1000s managing the process.

- 5 The Customer <sup>1200</sup>~~400~~ first Registers 100 for the service by logging on to the web server and providing contact details. In embodiments of the invention, these contact details may include a Personal Identification Number PIN. At some subsequent time the Customer ~~400~~ <sup>1200</sup> then buys goods from an Etailer 700. When asked for the delivery address the Customer <sup>1200</sup>~~400~~ then Schedule a Delivery 200<sup>(Fig. 9, 9A)</sup> at their preferred ACP Site 900; the Central Servers <sup>(Fig. 10, 10A, 10B)</sup> 1000 assigns the goods a unique Package ID. The goods are then Delivered 300<sup>V</sup> to the ACP Site 900 and put into a secure locker by the deliverer using the Package ID. Once the door is shut the ACP contacts the Central Servers 1000s, which then contacts the Customer <sup>1200</sup>~~400~~ telling them that their goods have arrived and giving them a Collection Code for that order. At his own convenience the Customer <sup>1200</sup>~~400~~ then visits the ACP Site <sup>(Fig. 11, 11A)</sup> 900 and Collects his Goods 400<sup>V</sup> from the locker using his PIN and the Collection Code associated with the package. At the end of every month the Central Servers 1000 analyses all deliveries and arrange for monetary settlement to take place between all involved parties in Partner Settlement 600 <sup>(Figs. 13, 14)</sup>.

### 20 List of Processes of the Invention

Process	Description
Register 100	How customers register with the ACP system

Schedule Delivery 200	How customers arrange for a purchase to be delivered to an ACP site
Deliver Goods 300	How the deliverer interfaces with the ACP site to put the customer's purchase into an available locker, as well as how customers are informed that their purchase is ready for collection
Collect Goods 400	How customers interface with the ACP site to collect their purchases
Collection Expiry 500, 530	What happens when customers do not collect their purchases from the ACP site for the pre-defined time period
Partner Settlement 600	How payments are administered between etailers, hosts and delivery companies
Close Doors 1300	How open lockers can be shut and locked
Delivery Company Registration 1400	How delivery companies register with the ACP system
ACP Host Registration 1500	How ACP hosts register with the ACP system

Etailer  Registration 1600	How etailers register with the ACP system
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#### H. Descriptions of the Processes of the Invention

5

Note: some of the Figures that are referenced in the following process flow descriptions make reference to the web site "ByBox.com". This is an example name for the web site that is hosted by the Web Server 1010; it is simply easier for the reader to give the web site an example name than to keep referring to it as "the ACP web site".

10

#### Register 100

With reference to FIG. 8 there is described the process by which the Customer ~~400~~<sup>1200</sup> registers. At step 101 the Customer ~~400~~<sup>1200</sup> establishes a connection to the Application Server using a Customer ~~400~~<sup>1200</sup> modem. In one embodiment the Central Servers 1000, has a page on the world wide web and access to the Application Server is through a dedicated web server. In such an embodiment the Customer ~~400~~<sup>1200</sup> provides information through the interface of conventional web browser software such as Microsoft Internet Explorer™. At step 102 the Customer ~~400~~<sup>1200</sup> provides details to register for the service. These include email address, phone numbers including cellular and home address. Email is the primary communication medium for the service and at step 103 the email address is validated to

check for correct syntax. At step 104 the Customer <sup>1200</sup>400 is prompted to add a correct address if his original entry is invalid.

Once all the Customer <sup>1200</sup>400's contact details have been entered successfully step 105 prompts the Customer <sup>1200</sup>400 to create a password for future access to their account data.

- 5 This it a minimum of eight characters long. If an invalid password is entered step 107 prompts the Customer <sup>1200</sup>400 to re-enter. Step 108 then asks the Customer <sup>1200</sup>400 to specify their preferred communication method. As soon as goods are delivered to the ACP Site 900 and put in a locker the Central Servers 1000 sends a message to the Customer <sup>1200</sup>400 <sup>1200</sup> informing them that their goods have arrived. The communication channel used for this
- 10 message is selected using the Customer <sup>1200</sup>400's preference: email, telephone, post. Step 109 then prompts the Customer <sup>1200</sup>400 to build a list of preferred ACP Site 900s. After comparing addresses, such as home and office, with the network of ACP Site 900s the Customer <sup>1200</sup>400 <sup>1200</sup> must elect preferred ACP Site 900s. Step 110 then asks the Customer <sup>1200</sup>400 to specify a Personal Identification Number PIN. This is a four character numeric string that is used to
- 15 identify the Customer <sup>1200</sup>400, as opposed to their package, as part of the Collect Goods process 400.

- Once all details have been added and validated, step 111 updates the Customer <sup>1200</sup>400's account on the Application Server, assigns a Customer <sup>1200</sup>400 ID and writes a cookie to the Customer <sup>1200</sup>400's device providing a fast link to the Customer <sup>1200</sup>400's details on the
- 20 Application Server.

- As soon as the Customer <sup>1200</sup>400 has registered for the service he is able to order goods online and specify an ACP Site 900 as the delivery address. The Schedule Delivery process 200 describes how a Customer <sup>1200</sup>400 uses the Application Server to arrange delivery to the prescribed ACP Site 900 using the Etailer 700 web-site. There are two discrete paths
- 25 available based on whether the Etailer 700 provides access to the Application Server from

their web-site. In cases where such a link exists the Etailer 700 is referred to as registered; where no such link exists they are described as non-registered.

#### Schedule Delivery 200

- 5           FIG. 9 describes how a registered Customer <sup>1200</sup>400 can schedule a delivery to an ACP Site 900. To make use of the service the Customer <sup>1200</sup>400 must first order goods from an Etailer 700. At step 201 the Customer <sup>1200</sup>400 goes to the Etailer 700 site and selects the goods required. As part of the purchase transaction the Etailer 700 will ask the Customer <sup>1200</sup>400 to specify <sup>(203)</sup>the address to which the goods should be delivered. If the Etailer 700 is <sup>(202)</sup>registered there will be a button on the delivery address page of the Etailer 700 site that will link directly to the Application Server. At step 204 the Customer <sup>1200</sup>400 presses this button and at step 205 a program embedded in the Etailer 700 site, executes and looks for the cookie written to the Customer <sup>1200</sup>400's device as part of Register 100. In step 206 if no cookie is found the Customer <sup>1200</sup>400 is taken <sup>(207)</sup>to the service's main web-page where they are <sup>(208)</sup>asked to log-in, using their email address and password, or Register 100. If the Customer <sup>1200</sup>400 logs-in successfully the cookie is re-written to their device. If they register for the first time, a new cookie is written as described in Register 100. If the Customer <sup>1200</sup>400 does not register or log-in they are taken back to the Etailer 700 site where they are able to specify a non-ACP delivery address.
- 20           At step 209 the Customer <sup>1200</sup>400 will have a cookie on their device containing their Customer <sup>1200</sup>400 ID. The executing program now looks up the Customer <sup>1200</sup>400's account on the Application Server using this Customer <sup>1200</sup>400 ID and finds their ACP Site 900 preferences, set-up as part of Register 100. In step 210 the Customer <sup>1200</sup>400 is asked to select the ACP Site 900 for this delivery from his list of preferences. Once a site is chosen the

Application Server then generates a Parcel ID unique to this particular delivery. If the (211) (212)  
Etailer 700 has specified bar-code extraction as part of Etailer 700 Registration 1600, then, (F15.18)  
at step 213, the tracking number, generated by delivery company software at the Etailer  
700 site, is converted into a bar-code and added to the package label. Then the address  
5 field on the Etailer 700 site is completed with the ACP Site 900 address, Package ID and  
text identifying the package as bar-code enabled. At step 214, if the Etailer 700 cannot  
process bar-code encryption the Parcel ID is printed as a numeric string as part of the  
delivery address.

Once the delivery address is complete the Customer 1200 400 completes the purchase  
10 transaction at step 215. Once the order is validated by the Etailer 700, a message is sent to (246)  
the Application Server confirming that an order was placed for that Parcel ID and giving  
further details relating to that order: Etailer 700 ID, from Etailer 700 Registration 1600,  
the number of items in the order and the time and date of the order timestamp. The  
message is used by the Application Server to determine which Parcel IDs represent  
15 confirmed deliveries; if the Customer 1200 400 selects an ACP Site 900 but then cancels the  
purchase transaction then a Parcel ID would be generated but not used.

(227)  
If the Etailer 700 is not bar-code enabled this completes the Schedule Delivery  
process. The numeric Parcel ID is not downloaded to the CENTRAL CONSOLE and there  
is not exact validation of the Parcel ID when a delivery is made. Instead check-digit  
20 validation is used to provide rudimentary validation. However, for bar-coded deliveries the  
Etailer 700 must pass bar-code details back to the Application Server so that they can be  
passed on to the CENTRAL CONSOLE for validation on delivery. In steps 228 and 229  
the Etailer 700 collates the bar-code with the Parcel ID, ACP address and ACP Site 900 ID  
for the order and then sends this to the Application Server in step 230. This process is  
25 completed every 30 minutes, with orders being batched together. In step 231 the

Application Server then sends the bar-code and associated Parcel ID to the ACP server (232) which then uses the site ID to identify the relevant ACP Site 900 and then download the bar-code and Parcel ID to the local CENTRAL CONSOLE. At this point the ACP Site 900 is ready to receive that delivery.

- 5            If the Etailer 700 is non-registered there will be no integration between their site and the Central Servers 1000. As a result the selection of the appropriate ACP Site 900 together with the generation of the Parcel ID it done independently from the Etailer 700 web-site. In step 217 the Customer <sup>1200</sup>400 goes to the service's home-page on the world wide web and clicks on the 'use service' button. In step 218 the Customer <sup>1200</sup>400's device is
- 10           searched for the cookie written as part of the Register 100 process. If a cookie is found the Customer <sup>1200</sup>400 goes directly to step 221. Otherwise, if no cookie is found, the Customer <sup>1200</sup>400 is asked to either Register 100 or log-on using their email address and password. If the Customer <sup>1200</sup>400 logs-on the cookie is re-written to their device as part of step 219. If the Customer <sup>1200</sup>400 completes the Register 100 process a new cookie is written to their device.
- 15           In step 221 the Application Server looks up the Customer <sup>1200</sup>400's ACP Site 900 preferences using their Customer <sup>1200</sup>400 ID retrieved from the cookie. In step 222 the Customer <sup>1200</sup>400 selects the ACP Site 900 preferred for this delivery and then in step 223 the Application Server generates a Parcel ID unique to that delivery. In steps 224 and 225 the Customer <sup>1200</sup>400 copies the ACP Site 900 address and the Parcel ID into the address fields of
- 20           the Etailer 700 's delivery page and completes the purchase transaction. (226)

### Deliver Goods 300

Once goods have been ordered they it dispatched to the ACP Site 900 ready for collection by the Customer <sup>1200</sup>400. FIG. 10 describes this process.

After the Customer <sup>1200</sup>~~400~~ has completed the purchase transaction the Etailer 700 packages the goods<sup>(301)</sup> and labels with the ACP Site 900 address and Parcel ID. Using their own, or a third party, delivery company the goods are then dispatched to the ACP Site 900 in step 302.

5 When at the ACP with the package the deliverer approaches<sup>(303)</sup> the screen of the CENTRAL CONSOLE where he is first asked to identify himself in step 304. If, as part of Delivery Company Registration 1400, his company has elected to use bar-code identification the deliverer can present his membership card to the bar-code reader; otherwise he enters his Delivery Company ID using the numeric keypad. In step 305 the  
10 CENTRAL CONSOLE validates the Delivery Company ID against its locally held<sup>(306)</sup> database of valid codes. If the code is valid<sup>(306)</sup> the CENTRAL CONSOLE proceeds to step 308 and displays the Delivery Main Menu, otherwise the delivery is refused in step 307 and the CENTRAL CONSOLE prompts the deliverer to enter a valid code.

At step 308 the deliverer is presented with four options: 'delivery', 'expiry', 'close  
15 doors' and 'exit'. To make a delivery he selects 'delivery' and the CENTRAL CONSOLE<sup>(309)</sup> then prompts him<sup>(310)</sup> to either enter the Parcel ID for the package or present the bar-code for scanning. If the package address contains text telling the deliverer that the package is bar-code enabled he will then present the package to the bar-code reader of the CENTRAL<sup>(316)</sup> CONSOLE in step 315. If the package is not bar-code enabled, or the bar-code reader is  
20 unable to read the bar-code the deliverer is prompted, in step 311, to enter the Parcel ID<sup>(312, 313)</sup> using the keypad. At step 317, if the Parcel ID entered is invalid the CENTRAL CONSOLE informs the deliverer and re-displays the Delivery Main Menu.

Once a valid bar-code or Parcel ID is entered the CENTRAL CONSOLE opens the<sup>(314)</sup> smallest available locker<sup>(314)</sup> and prompts the deliverer to place the goods inside<sup>(319)</sup>. In step 320  
25 the CENTRAL CONSOLE checks to see if the door to the locker has been closed. If it has



(321)  
the CENTRAL CONSOLE automatically locks the door and asks the deliverer if the  
delivery was successful in step 326. If the locker door is still open and more than 30  
seconds have elapsed since the door was opened (322) the CENTRAL CONSOLE beeps and the  
screen flashes for 10 seconds, prompting the deliverer to close the door. (323) If the door is still  
open (324) at the end of this 10 seconds the CENTRAL CONSOLE sends (325) an urgent, failed  
5 delivery message to the Application Server, via the LSM, and logs the deliverer out of the  
system.

At step 326 if the deliverer confirms that the delivery was successful the  
(329)  
CENTRAL CONSOLE returns to the delivery main menu. If the deliverer presses the 'no'  
(327)  
10 key the CENTRAL CONSOLE asks if the door was shut by mistake. If it was then the  
CENTRAL CONSOLE re-opens the door in step 328. If the door was not shut in error the  
CENTRAL CONSOLE asks if the locker is dirty or damaged in steps 343 and 345. If it is  
(344)  
the CENTRAL CONSOLE sends an appropriate message to the LSM which forwards it on  
to the Application Server. The CENTRAL CONSOLE then looks for another  
351 (352)  
15 appropriately sized locker in step 352. If it finds an available locker it opens the door and  
(354) (353)  
prompts the deliverer to put the goods inside. If no locker is available the delivery is  
refused, a message informs the deliverer that there is no space and a message is sent to the  
Application Server, via the LSM.

If the locker is clean and undamaged the CENTRAL CONSOLE asks the deliverer (346)  
20 if the locker is too small for the package. If it is the CENTRAL CONSOLE looks for an  
(348)  
available locker of the next size up. If the next size up is available it opens the locker door  
(349) (350)  
and asks the deliverer to put the package inside. If the maximum size has been reached and  
the package has still not been delivered, because it is too big or because there is no  
availability, the delivery is refused and a message is sent to the Application Server via the  
25 LSM. Once a delivery has been successfully made the delivery main menu is displayed by

the CENTRAL CONSOLE and the deliverer is free to continue with any other deliveries for that site or log-out.

To complete the delivery process the CENTRAL CONSOLE must inform the Application Server that a delivery has been made so that the Customer <sup>1200</sup>400 can be <sup>(330)</sup> contacted. If the package was processed with a bar-code the Parcel ID has not been <sup>STEPS 331, 332, 335,</sup> entered and therefore it retrieved from the Application Server in <sup>(333)</sup>step 332. If an associated Parcel ID is not found the LSM logs the delivery as incomplete and an error message is sent to the Application Server. Once the Parcel ID is present the CENTRAL CONSOLE invokes an asynchronous process, in step 334, to generate a Collection Code and send it to <sup>1200</sup>the Customer 400.

At steps 336 and 337 the CENTRAL CONSOLE generates the Collection Code and sends it, via the LSM, together with the Delivery Company ID and the Parcel ID to the Application Server, which then uses the Parcel ID to determine which Customer <sup>1200</sup>400 this package is for. <sup>(338)</sup>In step 339 the Application Server sends the Collection Code to the <sup>1200</sup>Customer 400, using their preferred channel as set-up in Register 100, together with a message informing them that their goods have arrived and reminding them where and how to collect them. The delivery company is referenced using the Delivery Company ID and a <sup>(340)</sup>message is sent informing them that the package has arrived.

At step 341 and 342 the Application Server sends the CENTRAL CONSOLE, via <sup>1200</sup>the LSM, the Customer 400's PIN together with the Parcel ID. At this point the CENTRAL CONSOLE is ready for the Customer <sup>1200</sup>400 to collect their goods.

#### Collect Goods 400

FIG. 11 describes how the Customer <sup>1200</sup>400 retrieves his goods from the locker. Once <sup>1200</sup>the Customer 400 has received the message with the Collection Code he is free to retrieve

his goods at his own convenience. When he approaches<sup>(401)</sup> the ACP Site 900, the CENTRAL CONSOLE prompts him for his Collection Code in step 402. Once the Collection Code is entered<sup>(403)</sup> the CENTRAL CONSOLE validates it against its orders database in step 404. If<sup>(405)</sup> the code is invalid an error message is displayed and the Customer<sup>1200</sup> 400 is prompted to re-enter the code<sup>(406)</sup>. If a valid code is entered the CENTRAL CONSOLE then asks the Customer<sup>1200</sup> 400 to enter their PIN, in step 407, and validates the entry in step 408. If an<sup>(409)</sup> invalid PIN is entered the CENTRAL CONSOLE displays an error message<sup>(410)</sup> and asks the Customer<sup>1200</sup> 400 if they want to re-enter the PIN or if they need the PIN re-issued. If they want to re-enter, the CENTRAL CONSOLE re-displays the PIN entry screen at step 407.

10 If the Customer<sup>1200</sup> 400 requests a re-issue of the PIN<sup>(412, 413)</sup> they are informed that they cannot collect their goods at that time and that their PIN will be re-sent immediately. In steps 414 and 415 the CENTRAL CONSOLE sends the Collection Code back to the Application Server, via the LSM, and asks the Application Server to re-issue the Customer<sup>1200</sup> 400's PIN. Using the Collection Code, the Application Server looks up the Customer<sup>1200</sup> 400's details<sup>(416)</sup> and re-issues the PIN using the Customer<sup>1200</sup> 400's preferred delivery channel in steps 417 and 418.

If a valid PIN is entered in step 409 the CENTRAL CONSOLE checks<sup>(419)</sup> if the Customer<sup>1200</sup> 400 owes any money for rental of the locker. Depending on the business model implemented the Customer<sup>1200</sup> 400 may pay for storage in part or completely. If money is owed the CENTRAL CONSOLE proceeds in step 420 to calculate the amount due based on an algorithm stored locally. In this embodiment payment can only be made using a prepaid card bought with cash from the retail Host 1100. In future embodiments payment will be possible using credit and debit cards.

25 Once the CENTRAL CONSOLE has displayed the amount due the Customer<sup>1200</sup> 400 makes payment, in step 421, by presenting his prepaid card to the bar-code reader. The

(422)

CENTRAL CONSOLE reads the bar-code and looks up<sup>v</sup> the amount available for that card on its local database. In ~~step 423~~<sup>STEPS 423, 425</sup>, the CENTRAL CONSOLE determines if there is

sufficient credit available. If so the CENTRAL CONSOLE asks the LSM to update the new card balance on all other sites<sup>v</sup> and proceeds in steps 428 and 429 to open the door to the locker containing the Customer <sup>1200</sup>400's goods. If there is insufficient credit<sup>v</sup> the

CENTRAL CONSOLE reduces the balance on the card to zero, communicates this new balance to all other sites via the CENTRAL CONSOLE and informs the Customer <sup>1200</sup>400 that they must charge their card with more money before they can retrieve their goods.

At step 429 the appropriate locker door is opened and the Customer <sup>1200</sup>400 retrieves

10 their goods in step 430. At step 431 the CENTRAL CONSOLE checks to see if the Customer <sup>1200</sup>400 has closed the locker door. If he has the CENTRAL CONSOLE

immediately locks it in step 433. The Customer <sup>1200</sup>400's Collection Code for that package is kept active on the CENTRAL CONSOLE database for a further 5 minutes in case the

Customer <sup>1200</sup>400 has shut the door in error. This may happen for a variety of reasons: for

15 example the Customer <sup>1200</sup>400 may be distracted before collecting their goods and

inadvertently close the door. Once 5 minutes has elapsed the Customer <sup>1200</sup>400 Code and PIN combination is deleted from the CENTRAL CONSOLE's order database and the

CENTRAL CONSOLE marks the locker as available for delivery<sup>v</sup>. If the locker door is not shut 30 seconds after it is opened it remains open until the next delivery is made<sup>v</sup>.

20

### Collection Expiry 500, 530

The Customer <sup>1200</sup>400 is given a fixed period to collect their goods once they have been delivered to an ACP Site 900. This period comprises a free collection time and a chargeable extension. If when this combined period has ended the goods have not been

retrieved they are sent back to the Etailer 700. FIG. 12 describes this collection expiry process.

As part of its daily processing routine, the Application Server identifies all deliveries that are within 24 hours of the end of the free collection time<sup>(501)</sup> and sends each Customer<sup>1200</sup> ~~400~~<sup>(502)</sup> a message, via their preferred communication channel, warning them that they will be liable to a charge if their goods are not collected within the next day. At step 503 the Application Server checks to see, for each delivery identified in step 502, if the Customer<sup>1200</sup> ~~400~~<sup>(504)</sup> has retrieved the goods. If they haven't the LSM marks the order as overdue and applies a fee every day for the remainder of the chargeable extension period or until the Customer<sup>1200</sup> ~~400~~<sup>(505)</sup> collects their goods, whichever is the sooner. If the Customer<sup>1200</sup> ~~400~~ collects their goods before the end of the chargeable extension period they must pay the overdue amount before the appropriate locker door is opened<sup>(506)</sup>, as described in Collect Goods 400.

When the chargeable extension ends the CENTRAL CONSOLE marks the Parcel ID of that package as expired on its orders database<sup>(507)</sup> and send a message to the Application Server via the LSM<sup>(508)</sup>. In step 509 the Application Server looks up the Customer<sup>1200</sup> ~~400~~'s account based on the Parcel ID and sends a message to the Customer<sup>1200</sup> ~~400~~, via their preferred channel, telling them that their order has expired and will be sent back to the Etailer 700. As part of its daily processing routine the Application Server produces a list of all expired Parcel IDs by ACP Site 900<sup>(510)</sup> and sends notification to the relevant delivery companies that return collections are required<sup>(511)</sup>.

In step 512 the deliverer approaches the CENTRAL CONSOLE at the ACP Site 900 containing and expired order and logs-on to the CENTRAL CONSOLE in the normal way, as described in Deliver Goods 300. From the delivery main menu, the deliverer selects 'expiry'<sup>(513)</sup>. At step 514 the CENTRAL CONSOLE prompts the deliverer to enter the

Parcel ID of the first expired order to be collected. In steps 515 and 516 this code is entered by the deliverer and validated by the CENTRAL CONSOLE against its order database containing expired orders. If the code is invalid the deliverer is asked to re-enter the code or log-out of the system. If a valid code is entered the CENTRAL CONSOLE opens the appropriate locker door in step 520 and the deliverer retrieves the expired order from the locker in step 521.

At step 522 the CENTRAL CONSOLE checks if the locker door has been closed. If it has the door is immediately locked and a timer set. The Parcel ID for that expired order is kept valid for a further 5 minutes in case the deliverer has made a mistake and has left the goods in the locker. At the end of this period the CENTRAL CONSOLE deletes the Parcel ID from its orders database and marks the locker as available for delivery in step 527. If, at step 522, the deliverer has not shut the door and fails to do so within 30 seconds of the door being opened, the door is left unlocked and available.

#### Partner Settlement 600

The method and apparatus of the present invention introduces efficiencies into the system for residential deliveries. These efficiencies are made possible through the integration of the present invention with order systems of Etailer 700 s and the use of retail space of ACP Host 1100s. FIG. 13 and FIG.14 describe the process of settlement between these commercial partners. FIG. 13 describes this process for Etailer 700 s; FIG. 14 describes this process for ACP Site 900 Host 1100s.

At the end of every calendar month the Application Server analyses all deliveries made to an ACP Site 900 by Customer 400s of registered Etailer 700 s. A charge is calculated, for each Etailer 700 , based on this analysis and an invoice dispatched, in step

602, payable in 30 days. At step 603 the Application Server checks if the invoice has been paid. If it hasn't the payment is classified as late<sup>(604)</sup> and in step 605 the Etailer 700 is contacted to chase-up the debt. Depending on the response in step 606, the Etailer 700 is either suspended from the system<sup>(607)</sup> or further chase-up is requested.

- 5           At the end of every calendar month the Application Server analyses all deliveries made to every ACP Site 900 of a Host 1100 partner. A charge is calculated, for each ACP Host 1100, based on this analysis<sup>(651, 652)</sup> and an invoice dispatched, in step 654, payable in 30 days. At step 655 the Application Server checks if the invoice has been paid. If it hasn't the payment is classified as late<sup>(653)</sup> and in step 657 the ACP Host 1100 is contacted to chase-  
10 up the debt. Depending on the response in step 658, the ACP Host 1100 is either suspended from the system<sup>(656)</sup> or further chase-up is requested.  
<sup>(659)</sup>

### Close Doors 1300

- As part of both the Deliver 300 and Collect Goods 400 processes there are  
15 occasions when the locker doors will be left open as part of normal business. This is untidy and leaves the lockers liable to be damaged or dirtied. To mitigate this risk the deliverer will attempt to close any open doors when he visits the site to make a delivery or collection.

- In step 1301 the deliverer logs-on to the CENTRAL CONSOLE in the normal  
20 way, as described in Deliver Goods 300. From the delivery main menu, the deliverer selects 'close doors'<sup>(1302)</sup>. At step 1303 the CENTRAL CONSOLE asks for the number of the doors which need to be closed and locked. The deliverer enters the door numbers in step 1304 and in step 1305 the CENTRAL CONSOLE sets each door to lockable mode and

prompts the deliverer to close the selected door. As soon as each door is closed the  
(1306)  
CENTRAL CONSOLE locks it.

#### Delivery Company Registration 1400

5 Validation of delivery men is an essential requirement of both the Deliver Goods  
300 and Collection Expiry 500 processes. To enable validation delivery companies must  
first register with the service. This process is described in FIG. 16.

At step 1401 a new delivery company account is established on the application  
server and a unique Delivery Company ID is generated. (1405)  
If the delivery company requires  
10 individual accounts to be established for each of their delivery men (1402) this is completed in  
step 1403. Similarly if delivery companies want to integrate the Delivery Company ID  
(1404)  
into their systems via bar-code reading this is done in step 1406.

Once a new delivery company has been added the new Delivery Company IDs it  
(1407)  
downloaded to the CENTRAL CONSOLE at each ACP Site 900.

15

#### ACP Host Registration 1500

To facilitate Partner Settlement 600 and to uniquely identify each ACP Site 900 it  
is important to establish an account for each ACP Host 1100. Steps 1501 and 1502  
complete this process by establishing a unique account number on the application server  
20 for each Host 1100 together with unique IDs for each ACP Site 900. Once these IDs have  
been generated they are downloaded to the CENTRAL CONSOLE at each ACP Site 900  
in 1503.



### Etailer 700 Registration 1600

To facilitate Partner Settlement 600 and Collection Expiry 500 the application server is able to identify each separate Etailer 700. At step 1601 an account is established for each new Etailer 700 and a unique ID is generated. If the Etailer 700 is able to provide  
5 bar-coded labels this is recorded in the application server at step 1603.

### I. Courier Independent Delivery Model

Figure 19 illustrates a computer network architecture employed in embodiments of the invention which support courier independence. In such embodiments, the user employing a web browser 2010 or alternatively the e-tailer 2008 may select one of many  
10 possible couriers 2002 2004 2006 to deliver an item ordered from an e-tailer to a desired collection point. Processes employed to support such embodiments are illustrated in Figures 20-29.

Figure 20 illustrates a process for determining an address for delivery of the ordered item. The customer interfacing with the e-tailer 2008 via the browser 2010 may  
15 provide a delivery address 2102, which is then checked for validity 2103. In embodiments, cookies located on the web browser 2010 may be searched for a collection point 2110. Alternatively, a central database may be queried to determine a collection point which can accommodate the item, or package 2111.

A process for calculating delivery fees is illustrated in Figure 21. The package  
20 weight, size, and ship-from-address are determined from the e-tailer 2008 server 2201. In embodiments of the invention, for each courier and each level of service available from that courier, a fee is determined 2205-2210. In some embodiments, all of this information is presented to the customer on the web browser 2010, so that the customer may select shipping options via a form on the browser 2010. In alternative embodiments, the

cheapest rate for a desired service/address combination is selected 2212. Other alternative rate selection schemes facilitated by such embodiments will be apparent to those skilled in the art.

In embodiments of the invention illustrated in Figure 22, a shipping page is generated 2213 for posting on the web browser 2010. In some such options, the customer is presented with a rate grid with a price and courier for each service/address combination 2301. Upon completion on all purchases<sup>2307</sup> from the etailer 2008, the process of shipping the order commences 2310.

Figure 23 illustrates a process for registering<sup>2306</sup> a customer's collection point preferences and personal contact information. The customer is asked for contact information, including e-mail address 2401. The e-mail message may be checked for validity 2402. The customer may be asked to create a password for accessing lockers in the collection point 2404. This password may also be checked for validity 2405, and the procedure may be repeated 2406 until a valid password is set and confirmed 2407. Collection points available to the customer may be calculated 2412, and upon the customer's selection, one such collection point may be chosen as a default 2413. Cookies containing the customer's preferences may be written 2409 2415 to the customer's browser 2010.

The invention includes techniques for applying discounts for the delivery of multiple items. Embodiments for calculating such fees dynamically 2207 are illustrated in Figure 24. In one such embodiment, a grid of possible delivery dates for the item may be presented to the customer/user 2501. In some such embodiments, a discount may be offered to the user for consolidating multiple pre-scheduled deliveries 2503 2505 2506 2507. In some embodiments, a pro-rata discount may be available to the user 2510. A delivery fee is ultimately tabulated 2513.

In embodiments of the invention, the e-tailer 2008 does not carry stock. This situation is typical of many if not most e-tailers in operation as of the time of this writing. Such a situation is illustrated in Figure 25. In such circumstances, the e-tailer 2008 locates, receives, and packages the goods to be distributed 2601. The order for the goods is received and a courier is identified 2603. A tracking number is generated according to rules specific to the courier and a label may be printed with an address for the collection point and/or a bar-code tracking number 2604. A locker at the collection point is reserved 2611 for delivery of the goods. Figure 26 illustrates a settlement procedure employed for settling the transactions conducted with the e-tailer. The procedure loops through multiple transactions 2702 2703, and sets the prices for each according to whether the scheduled shipping date agrees with the actual shipping date<sup>(2705, 2706)</sup>—this determines whether the consolidated shipping fee or the full price for the appropriate collection point is assessed 2707. Figure 27 illustrates a process for setting the initial parameters for tabulating discounts. If dynamic fee calculation is available 2801, the appropriate binary parameter is set 2803, and the parameter initialization proceeds to determine if the consolidation discount is available 2804. If so, the appropriate binary parameter is set 2806, and the procedure determines whether pro-rata consolidation 2807 is available, and sets the appropriate binary parameter accordingly 2809.

A process for scheduling delivery of the goods to the collection point is illustrated in Figure 28. The procedure determines that the collection point is automated 2902 and checks for availability of the collection point<sup>2904,</sup> 2905. If space is unavailable at the collection point, alternative collection points may be sought 2911 2912, and home delivery options 2913 may be pursued. Figure 29 illustrates a process for delivering an item from a customer residence to an automated collection point; such procedures may be

utilized in case a customer requests home delivery from an e-tailer, but is unavailable for the actual delivery.

#### J. Single Courier Model

Embodiments of the invention presume a single courier will be used to deliver  
5 goods ordered from the e-tailer to a collection point. Figure 30 illustrates a block diagram used in such embodiments. A customer is connected to the Internet via a web browser 3000 looking at an e-tailer web site. The e-tailer web server 3002 is connected to the courier's host server 3004, referred to in the Figure 30 as the central server. A key part of this architecture is the seamless nature of this connection – the customer is unaware that  
10 processing is taking place outside the e-tailer web-site.

The e-tailer keeps accounts of its own customer records, orders and inventory. The courier's central server interfaces to the e-tailer web server and may keep account of its own customer records; list all the collection points; list pending deliveries to those collection points; contain shipping rates for deliveries to those collection points; and hold  
15 system parameters which are used in the delivery fee calculation.

Figure 31, labeled Address Determination, is a process flow diagram for determining the delivery address. The customer selects goods on an e-tailer site and proceeds to the checkout in the customary way 3101. The e-tailer then asks the customer  
(3102) to provide a delivery address for that particular order. Once a valid address is established  
20 3103, the first interaction between the e-tailer server and the courier's central servers occurs. The procedure verifies whether the customer has already given a collection point address <sup>3104</sup>~~3103~~. If they have then 3105 the procedure checks that the collection point can handle the package size. This involves an interaction between the e-tailer server, which contains detailed inventory information, and the courier's central server which contains

size restrictions for all collection point (this is particularly important for automated collection points where enclosures will have specific size limitations). If the goods fit then a fee for that delivery can be calculated 3108.

5 If a collection point address isn't entered 3104, which will be the normal situation for the majority of new customers who will simply put their own home address, then 3107 the courier's central server searches for a cookie on the customer's device which may contain collection point preferences. To use a collection point, customers are first registered and as part of the registration process any preferences they specify will be written to a cookie stored on their device. If default collection points are found within that  
10 (3109) cookie then the procedure 3110 determines if any of the collection points chosen can handle the package size.

If a customer isn't registered or hasn't specified defaults then the central servers are asked to calculate the nearest collection points to the delivery address specified by the customer. Furthermore, only collection points that can accommodate the given package  
15 (3111) are selected. The system 3112 3113 establishes the criteria for this search: system defaults specified by the courier are read 3112; defaults specified by the customer are also read 3113. System defaults could include one or more of the following: a default search radius, a maximum number of collection points to return and a preference for automated over manned collection points. Customer defaults may include search radius and feature  
20 of the collection point such as: 24 hour accessibility, high security, indoors. Once these criteria are combined the database of collection points is queried 3114. If the search is  
(3116) successful and a collection point(s) is found then processing moves to Delivery Fee Calculation 3115. If the search is unsuccessful, then collection point processing ends 3117. Thus, the purpose of Address Determination 3100 is to establish if there is a

collection point of suitable size, near the customer's specified delivery address. And the next step is to calculate the fee associated with each of the collection points available.

Method of fee determination employed by embodiments of the invention differ substantially from fee calculations for residential deliveries. The sharing of a single delivery address across many customers introduces cost savings which can be passed onto customers through delivery fee discounts. Such discounts may arise, for instance, when two separate customers schedule deliveries to the same collection point on the same day.

Referring to Figure 32, the package weight, size and the ship from address (i.e., the e-tailer's storage address) may be read 3201 from the e-tailer server. Then the first collection point address is read in <sup>3202</sup> – there may be several addresses that need to be priced. The various services available for the first address are read in 3203. Services relate to the time dimension of delivery: typically couriers offer a variety of services from next day through to one week. now such as 1 day, 2 day, or 3/7 days services.

The delivery fee calculation procedure 3204 checks whether dynamic fee calculations are allowed by the courier. Such a parameter gives couriers the opportunity to pass back cost savings to customers on a case-by-case basis. If the dynamic fee flag is set 3204, then 3206 a shipping date is confirmed. A confirmed shipping date is a pre-requisite to any meaningful dynamic fee calculation – without such a date there is no way to determine when the goods will be delivered and therefore little point in trying to determine if there will be any savings that can be passed back to the customer. If a shipping date hasn't been confirmed by the e-tailer, then step 3208 calculates and stores the delivery fee for each level of service based on a static fee table (i.e., a table that doesn't change based on the specifics of that delivery). If however, if a shipping date is confirmed, then the processing moves on to Dynamic Fee Calculation 3205. If this is the last collection point address 3209, then processing moves on to Format Shipping Page <sup>3210</sup>; if not the next address

<sup>3207</sup>  
is read and the above steps are repeated. In summary, Delivery Fee Calculation determines the appropriate delivery fee for every possible collection point address and delivery service combination. In embodiments of the invention the output is a grid of charges plotted against two axes: timescale of delivery and delivery address.

<sup>3210</sup>  
5       Format Shipping Page<sup>3210</sup>, illustrated in Figure 33, is responsible for presenting this grid of charges to the customer. The processing 3301 generates the grid described above. If the customer then selects a collection point for delivery 3303, the system 3305 checks whether the customer is registered. By definition, packages delivered to a collection point will not be delivered to a customer's home address. Such a system introduces two new  
10 requirements to the delivery process: 1) the need to identify the customer – this can no longer be done using their home address; 2) the need to contact the customer to tell them that their goods have arrived at the collection point and are ready to collect. Both pieces of information are required before a delivery to a collection point can be sanctioned. Once  
the customer is registered <sup>3306, 3308</sup> ~~3307~~, and they have completed the purchase<sup>3307</sup>, the order can be  
15 shipped.

<sup>3306</sup>  
Figure 34 describes the Customer Registration process<sup>3306</sup> in more detail. E-mail address and password are collected from the customer in steps 3401 through <sup>3406</sup> ~~3405~~. The customer is given the opportunity to specify personal preferences 3407 which are written in a cookie to the customer's device. Options available, as mentioned above, may include  
20 preferred features of the collection point chosen such as: proximity to a car park, 24 hour accessibility, level of security, manned or automated. Other options will be apparent to those skilled in the art. As part of registration the customer is also asked <sup>3408</sup> to specify default collection point addresses. These might be near a customer's home, near their place of work or en route between the two. The system 3411 prompts the customer to enter their  
25 delivery address and proceeds 3412 to calculate the collection points in that area. The

system 3413 then prompts the customer to select one or more as a default – to be used when calculating delivery fees. A customer may want to specify a number of defaults knowing that certain carriers offer dynamic fee calculation which may result in a price differential between sites for a given delivery. Once all preferences are recorded on the customer's cookie<sup>3409, 3415</sup> processing moves to Format Shipping Page 3414,<sup>3410</sup>.

Figure<sup>35</sup> ~~25~~ describes the Dynamic Fee Calculation process<sup>3207</sup>. It allows calculation<sup>3513</sup> of individual fees based on the particulars of a customer's order. More precisely it allows the cost savings, associated with multiple customer sharing one address, to be passed on to those customers if a courier so wishes. The process 3501 builds a grid of possible delivery dates that are available, and then 3502 reads in the delivery dates for the first service provided by the courier. In the first key step, it checks whether the courier will allow discounts to be offered to the customer in the event that another delivery is already scheduled for that collection point on that day 3503. Clearly, as many customers share one address it's highly possible that several deliveries may arrive at that address on a given day – hence the possibility of consolidation discounts. The number of existing deliveries scheduled for each date given is calculated 3505 and stored against that service in a temporary grid in memory.

<sup>3506</sup>  
If there are other deliveries scheduled on that day, then a further key check is made 3508 as to whether the courier will provide a pro rata consolidation discount. Once consolidation has been established the courier has two choices: 1) offer a fixed discount; 2) pro rata the discount based on the number of items delivered to that site on that day. In the current delivery system, where all items are sent to individual addresses (corporate or residential), if there were deliveries to five separate addresses then the courier would have a minimum of five trips – assuming all customers were in to accept delivery. Using this new model couriers are guaranteed to make one trip (collection points are always open to



accept deliveries). So there is at least a five fold saving in such a scenario and its whether the courier decides to pass on additional discount based on that level of consolidation that it's checked for in 3508. If they do, then in 3510 the day with the highest number of scheduled deliveries is calculated to allow the customer a maximum discount and 3511 a pro rate consolidation discount is calculated. If 3508 the pro rata is not allowed, then 3509 the consolidated discount is calculated based on a flat amount.

If the results of the query to the pending deliveries table reveals no scheduled deliveries to that collection point on any of the days available, then step 3507 looks up the standard fee to that site from the courier's rate database. This is different from the static database kept on the e-tailer server, simply because this allows the courier to update their rates for a particular collection point at their convenience. Then this loop is iterated again<sup>3512, 3504</sup> for the next service available until all service options have been exhausted.

Figure 36 describes the Ship Order process. Once a purchase order is placed by the customer the etailer must locate the goods ready to be shipped. Often etailer do not have the goods in stock and they must be ordered from a supplier or another distribution center. Once the goods are received at the distribution warehouse they are packaged 3601. Next a label is printed that includes the collection point address and a tracking number generated that can uniquely identify the package. The system 3602 looks up the customer order and determines the appropriate collection point address. The system 3603 then generates the tracking number by linking to the courier's central server. It then proceeds to the print the package label using the address and the bar-coded tracking number 3604.

The system dispatches 3605 the goods and writes a transaction record to the settlement log<sup>3606</sup>. Simultaneously 3608 it checks to see if the destination collection point is automated. If so the tracking number is sent to the collection point memory<sup>3610</sup>, in preparation for delivery. Automated collection points only allow delivery of goods that

are scheduled for that site. To perform the necessary validation the tracking numbers of all pending deliveries must be downloaded as soon as the goods are dispatched. Automated collection points allow the reservation of a particular enclosure<sup>3611</sup> for a specific delivery. If such a reservation is required then confirmation is sent to the collection point regarding when the package is dispatched.

Figure 37 describes a standard settlement process, with one caveat. As was mentioned in Dynamic Fee Calculation, consolidation discounts can only be offered to the customer if a guaranteed shipping date is known. If this shipping date was confirmed by the e-tailer but then subsequently not met, the system 3707 prevents the courier from loss of revenue by applying the full non-consolidated shipping fee to the etailer's account. Clearly, the customer still benefits from a consolidation discount, but the e-tailer is effectively punished for a delay in shipping the goods by being charged the difference between this figure and the full amount. The remainder of the steps in this process describe a standard loop for reading in transactions, summarizing them for each e-tailer and submitting an invoice.

There are a number of options available to the courier when calculating delivery fees. Figure 38 describes the setting of these flags. First 3801 the courier chooses whether to allow any dynamic calculation of fees. If they do then 3803 the dynamic fee flag is set to on. The courier is then given the option 3804 of going further and allowing discounts based on any consolidation. If they do then the consolidation flag is turned on in 3806. Finally 3807 the courier is asked whether they would like to pro rata any consolidation discount awarded to the customer. If they do then the pro rata consolidation discount flag is turned on 3809.

Figure 39 describes processing that is another unique attribute of an automated collection point network: the ability to guarantee that a delivery person will never arrive at

a full automated collection point. In step 3901 all deliveries scheduled for collection points for that day are read from the courier's central server and the first of these is read into memory 3902. The system 3903 checks that the delivery is for an automated collection point – if it is not then no advanced availability checking is possible and the next delivery is read 3904.

If the delivery is for an automated collection point then the system 3905 checks the availability of this site from the collection point table stored in the central server. If space is available in a suitably sized enclosure, the delivery is confirmed<sup>3906</sup> and the delivery window is passed to the logistics system of the central server. This step highlights a major benefit of automated collection points: the capacity to receive deliveries 24 hours a day and particularly at night.

If space is unavailable 3907, the processing checks if this is the last available delivery date within the service commitment. If there are days remaining (e.g. the delivery was promised within 3 to 7 business days and it is only day 5) then the delivery is postponed<sup>3908</sup> and marked for review on the next available delivery day. If, however, it is the last permissible delivery date, then the customer's collection point preferences are read<sup>3909</sup> from the central server. Customers may elect to have goods delivered to an alternative collection point should their preferred site be full. Such instructions are established by the customer as part of registration. If the customer has given permission for an alternative site to be used then 3911 the availability of all collection points is checked within a five mile radius of the original collection point address.

If<sup>3913</sup> there is availability at a suitable alternative site then delivery is confirmed to this address and<sup>3916</sup> ~~3906~~, the scheduling flexibility of this new site is confirmed to the carrier's routing and logistics system. If there isn't any availability at any collection point near the customer's home or if there are no alternative collection points, then the customer's

account is read again 3912 to determine if they will allow home delivery. Clearly if a customer has arranged for goods to be delivered to a particular collection point and it's busy, it may be more convenient for the customer to receive the goods at home rather than experiencing a delay. If the customer has given permission for home delivery, then

5 confirmation is sent<sup>3914</sup> to the courier's routing system that delivery should be made to the customer's home address. If customers have not specified home delivery as an alternative option, then a customer contact is scheduled<sup>3915</sup> arranged<sup>3917</sup> and the delivery is postponed until the next delivery day. This process is repeated<sup>3918</sup> until all collection point transactions for that day have been processed.

10 Figure 40 describes another unique facet of a collection point network – the ability to redirect a residential delivery to a collection point in the event that the customer is not in to sign for the goods. More specifically this process allows the delivery man to determine immediately if there is availability at a nearby collection point and facilitates the automatic recalculation of the most economic route that includes this new address.

15 Once the delivery man has determined<sup>31001</sup> that the customer is not in to receive the goods, he asks his PDA to connect<sup>31002</sup> to the courier's central server and read in the customer's details<sup>31003</sup>. If the customer is a registered collection point user, then their account<sup>31006</sup> is accessed to determine<sup>31006</sup> if they have given permission for delivery to a collection point if they are not in to receive goods. If they have given permission, then in 31007, their

20 preferred collection points are read. If they haven't specified preferences, then the central server searches<sup>31008</sup> for collection points within five miles of their home address. If collection points are found, or if preferences are given as part of their account details, then 31010 the availability of these collection points is determined starting with the closest. If in 31011 a collection point is available then the courier's logistics system is asked if to determine<sup>31013</sup> if

25 an economic route exists which incorporates this new address in the remainder of the

31015  
delivery man's route. If it is economic to deliver to that collection point then the driver's  
31016 route is updated and the tracking number of the package is downloaded to the collection  
point in preparation for delivery. If in 31009 no collection points are found with  
(31012) availability, then the package can't be delivered and is returned to the depot. Similarly if  
5 none of the collection points has availability or if the alternative route including that  
collection point is uneconomic, then the package will be returned to the courier's depot.

#### K. Alternative Embodiments

In an alternative embodiment of the invention, a centralized, synchronous system  
is used to validate delivery codes for packages delivered to an ACP. In one such  
10 embodiment, a package delivered to the ACP is validated by its packet ID, such that the  
packet ID is sent to the central server for validation. Once the packet ID is validated at the  
central server, a command to open an appropriate door on the ACP may be sent from the  
central server to the central console on the ACP.

In embodiments of the invention, a package may be validated by insertion of a  
15 delivery company ID to the console. In particular, an ID for the delivery agent which  
brings the package to the ACP may be used to validate delivery of the package. In some  
such embodiments, the delivery ID may be sent to the central server for validation. In  
other embodiments, the delivery ID may be validated asynchronously. In some such  
embodiments, delivery IDs for each of a plurality of delivery companies may be  
20 downloaded to local memory on the ACP console at periodic intervals. In some  
embodiments, the delivery IDs may be downloaded en masse after a triggering event,  
such as an opening or closing of a locker door.